Course Title: Transnational Institutional Dynamics

Lecturer: Prof. Dr. Sigrid Quack

<table>
<thead>
<tr>
<th>Course number</th>
<th>1354</th>
</tr>
</thead>
<tbody>
<tr>
<td>Location/Room</td>
<td>MPIfG, Paulstr. 3, Conference room</td>
</tr>
<tr>
<td>Time</td>
<td>Tuesday, 10 – 11.30 am, beginning 11 October 2011</td>
</tr>
<tr>
<td>Credit Points</td>
<td>6 ECTS</td>
</tr>
<tr>
<td>Type of course</td>
<td>Co</td>
</tr>
<tr>
<td>Course Language</td>
<td>English</td>
</tr>
</tbody>
</table>

1. Objectives

The course aims to provide students with a conceptual and contextual framework for understanding transnational institutional dynamics in the age of globalization. The focus is on the evolution and development of transnational institutions governing economic and related environmental and social issues. After introducing students to key debates and concepts, the course will provide the opportunity to study and discuss the development of transnational institutional arrangements in different problem areas, drawing on available case studies and research publications. Readings will include contributions from sociology, political science, organization and legal studies, reflecting the interdisciplinary nature of the field of transnational governance research. The aim is to stimulate critical and interdisciplinary thinking and to enable students to develop conceptual and empirical research tools to be applied to the analysis of an illustrative case in their research essay to be written at the end of the course.

2. Prerequisites

Ideally, students should have prior academic knowledge in one of the following thematic fields: sociology of globalization, political sociology, international relations and/or organisations, new modes of governance, European governance, international and or European law. However, given the interdisciplinary nature of the course, the most important prerequisite is a vivid research interest in the field under study.

3. Relevance for study programs

The course addresses MA and advanced Diploma students who are planning a bachelor/master or diploma thesis in this thematic field, as well CGS, IMPRS and other doctoral students of the WiSo-Faculty.

4. Contents

The seminar focuses on the development, dynamics and implications of emerging forms of transnational governance in the global economy – public, private, and hybrid. The sessions will deal with trends in economic globalization; actors, forms and processes of transnational institution building and development and their intersections with national institutions; governance arrangements in specific problem fields (financial markets, environmental products, food and safety, labour relations, etc.); normative and empirical issues of their accountability and legitimacy; and conceptual frameworks to compare and make sense of them.
The syllabus and complete reading list will be made available online before the beginning of the course: http://www.mpifg.de/people/sq/teach_en.asp

5. Working requirements and assessment method

The course will be taught through a combination of lectures, student presentations and discussion. You are expected to come regularly to class having done the assigned reading and be ready to discuss it. Since most of the reading will be in English, you should set aside sufficient time for preparing class. In addition, you are expected to complete the following assignments:

1) Submit 5 brief response memos (no more than 1 single-spaced page) on the week’s readings. These memos are intended to prepare the ground for class discussions by requiring participants to set out their initial reactions to the reading in written form. Each memo should identify at least one question that you would like to discuss in class. The memos need to be posted on the iversity platform by Sunday 8 pm before the class at the latest.

2) Give a short verbal presentation of key findings of the reading and questions for discussion (not longer than 15 minutes, done in groups of two) in one of the seminar meetings. It is appreciated if you send the presentation by email to the lecturer by Sunday evening.

3) Write a research essay (approx. 15 single spaced pages) assessing transnational institutional dynamics (emergence, development, decline, interaction) in one or across several issue fields. The research essay can build on the work of undertaken for the critical review. A one page proposal for the research essay containing a suggested title, subject and an outline should be posted on the iversity platform by Sunday, 7 January at the latest and discussed in a meeting with Sigrid Quack soon afterwards. For Diplom Students, the final version of the research paper is due to be uploaded to the iversity platform by Friday, 17 February at the latest. Until the same day, a printed copy of the paper must be either given to Prof. Quack until 12 pm or at Friday 17 to Martina Peters at the seminar for Sociology until 5 pm.

Summary of deadlines:

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Date</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Five response memos on reading for the next seminar session (approx. 1 page)</td>
<td>flexible</td>
<td>Sunday 8 pm</td>
</tr>
<tr>
<td>One page proposal for research paper</td>
<td>8 January</td>
<td>-</td>
</tr>
<tr>
<td>Research essay (approx. 15 pages)</td>
<td>17 February</td>
<td>12 pm Prof. Quack or 5 pm Martina Peters</td>
</tr>
</tbody>
</table>

The final grade for the seminar will be based on the following evaluation criteria:

- Response memos, presentation and class participation (50%)
- Research paper (50%)
8. Co-ordination/Contact
apl. Professor Sigrid Quack, quack@mpifg.de
Student assistant Christian Weiland, weiland@mpifg.de

Course Outline

<table>
<thead>
<tr>
<th>No</th>
<th>Date</th>
<th>Topic</th>
<th>Issues to be discussed</th>
<th>Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>11.10.</td>
<td>Introduction</td>
<td>Overview on topic and course, planning</td>
<td>Presentation lecturer Discussion</td>
</tr>
<tr>
<td>02</td>
<td>18.10.</td>
<td>Institutions and transnationalization</td>
<td>Understandings of institutions in organizational (world polity), societal and historical institutionalism (IR); concept of transnationalization; different meanings of enforcement and legitimacy; what would theories lead us to expect about transnational institutions?</td>
<td>Presentation lecturer Student memos Discussion</td>
</tr>
<tr>
<td>03</td>
<td>25.10.</td>
<td>Institutional emergence, development and decline in global context</td>
<td>Limits of design in institutional emergence and development; how does environment impact on institutional change? Enacting and entrepreneurial agency; competing explanations of changes in transnational governance; mechanisms of change</td>
<td>Presentation lecturer Student memos Discussion</td>
</tr>
<tr>
<td>04</td>
<td>8.11.</td>
<td>Actors and forms of transnational institution building</td>
<td>Governance triangle; role of International Organizations and transnational communities in orchestrating; pathologies of IOs; pros and cons of transgovernmental networks</td>
<td>Student presentation Student memos Discussion</td>
</tr>
</tbody>
</table>

Emergence and development of transnational institutions in selected issue fields
<table>
<thead>
<tr>
<th>Week</th>
<th>Date</th>
<th>Topic</th>
<th>Description</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>05</td>
<td>15.11.</td>
<td>The world trade regime: From GATT to WTO</td>
<td>Transformation from GATT to WTO: creation of a legally binding framework for global trade; legalization of WTO procedures; arbitration body; path dependencies, unintended effects and changes in the WTO; WTO as framework for private regulation in forest, labor and copyright</td>
<td>Student presentation</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student memos</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Discussion</td>
</tr>
<tr>
<td>06</td>
<td>22.11.</td>
<td>Emergence of private certification schemes</td>
<td>Role of social movements, experts, business and states in developing certification schemes; competing schemes within an issue field; recursive revisions of content and procedures over time</td>
<td>Student presentation</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student memos</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Discussion</td>
</tr>
<tr>
<td>07</td>
<td>29.11.</td>
<td>Public-private struggles over copyright</td>
<td>Historical evolution of international copyright regime; capturing of international TRIPS negotiations by US business actors from media/pharma industry; civil society reactions against expansion of copyright; role of internet; civil society and social movement mobilization for creative commons</td>
<td>Student presentation</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student memos</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Discussion</td>
</tr>
<tr>
<td>08</td>
<td>6.12.</td>
<td>From professional to supranational governance: IFRS</td>
<td></td>
<td>Student presentation</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student memos</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Discussion</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Transnational institutional interactions</td>
<td></td>
</tr>
<tr>
<td>09</td>
<td>13.12.</td>
<td>Transnational regime complexity: Fragmentation and interactions across issue fields</td>
<td></td>
<td>Student presentation</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student memos</td>
</tr>
<tr>
<td>10</td>
<td>20.12.</td>
<td>Horizontal interactions between private schemes</td>
<td></td>
<td>Student presentation</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student memos</td>
</tr>
<tr>
<td>11</td>
<td>10.1.</td>
<td>Recursive rule-making involving</td>
<td></td>
<td>Student presentation</td>
</tr>
</tbody>
</table>
private and public actors

Student memos

<table>
<thead>
<tr>
<th>12</th>
<th>17.1.</th>
<th>Vertical interactions between global, national and local levels</th>
<th>Student presentation Student memos Discussion of cases</th>
</tr>
</thead>
</table>

**Summing up**

<table>
<thead>
<tr>
<th>13</th>
<th>24.1.</th>
<th>Accountability and legitimacy challenges</th>
<th>How do transnational institutional dynamics and interactions impact on its effectiveness? Which challenges arise for accountability and legitimacy?</th>
<th>Presentation lecturer Student memos Discussion</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>14</th>
<th>31.1.</th>
<th>Conclusions</th>
<th>Lecturer facilitates discussion</th>
</tr>
</thead>
</table>

**Readings**

**Recommended introductory reading:**

**Recommended books, textbooks and readers:**

Recommended empirical sources and materials:

• Historical Statistics of Angus Maddison: http://www.ggdc.net/maddison/

**Readings by session**

1. **Introduction (October 11)**


   **Additional:**


2. **Institutions and transnationalization: Concepts and research questions (October 18)**


   **Additional:**


3. Institutional emergence, development and decline in global context (October 25)


Additional:


4. Actors and forms of transnational institution building (November 8)


Additional:


5. **The world trade regime: From GATT to WTO (November 15)**


   **Additional:**


6. **Emergence of private certification schemes (November 22)**


   **Additional:**


7. **Public-private struggles over copyright (November 29)**


Additional:


8. From professional to supranational governance: International Financial Reporting Standards (IFRS) (December 6)


Additional:


9. Transnational regime complexity: Fragmentation and interactions across issue fields (December 13)


Additional:


10. **Horizontal interactions between private schemes (December 20)**


**Additional:**


11. **Recursive rule-making involving private and public actors (January 10)**


**Additional:**


12. Vertical interactions between global, national and local levels (January 17)?


**Additional:**


Espach, Ralph (2006) „When is sustainable forestry sustainable? The Forest Stewardship Council in Argentina and Brazil“, *Global Environmental Politics* 6, 2, pp. 55-84.


13. Legitimacy and accountability challenges (January 24)


**Additional:**


14. Concluding session (January 31)